



PRICING*

I. COMMISSIONS

1. STOCKS & ETFS

	
Monthly Volume	Per Share Rate
Over 20,000,001	\$0,0010
15,000,001 - 20,000,000	\$0,0013
6,000,001 - 15,000,000	\$0,0015
3,000,001 - 6,000,000	\$0,0017
1,000,001 - 3,000,000	\$0,0020
250,001 - 1,000,000	\$0,0030
Under 250,000	\$0,0035

	
Monthly Volume	Per Share Rate
Over 20,000,001	\$0,0010
15,000,001 - 20,000,000	\$0,0013
6,000,001 - 15,000,000	\$0,0015
3,000,001 - 6,000,000	\$0,0017
1,000,001 - 3,000,000	\$0,0020
250,001 - 1,000,000	\$0,0030
Under 250,000	\$0,0035

*until 09.01.2021

2. PLATFORM FEE



Platform	Rate Per Month
Sterling Trader Pro	\$150.00
Sterling Trader Manager	\$305.00
Sterling Trader Options	\$30.00
Market Data Fees	Variable



Platform	Rate Per Month
Sterling LST	\$150.00
Sterling LST Manager	\$400.00*
Market Data Fees	Variable

* Sterling LST Manager includes full market data package.

3. OPTIONS

Monthly Volume	Per Contract Rate
Over 200,001	\$0,30
100,001-200,000	\$0,40
50,001-100,000	\$0,55
10,001-50,000	\$0,65
2,001-10,000	\$0,75
501-2,000	\$0,80
Under 500	\$0,90
Market Data Fees	Variable
Per trade fee	\$1.00

¹ Clients who meet monthly trading volume thresholds qualify for our Active Trader Commission Group and can benefit from deeply discounted commissions. Once your account

is set to any Active Trader Commission Group rate, you will be charged that rate on all shares traded going forward.

For example, if you trade over 1,000,000 shares per month using Sterling Trader and qualify for the \$0.0020 per share rate, you will be charged only \$0.0020 per share on all shares traded, not just those in excess of 1,000,000 in any given month.

² All rates subject to ongoing review and may be increased if clients fail to meet the trade/share thresholds listed above.

³ Call-in trades are an additional \$15 per ticket.

⁴ All stock/ETF pricing listed on this page applies to listed equities only.

⁵ Additional \$10 per options contract is charged for expired options.

⁶ Commissions apply to all order types. For share prices less than \$1.00, 30 basis points times the total trade value will be charged. For customers who send an extraordinary number of non-marketable limit orders to the NYSE, Nasdaq, Amex or other exchanges, and who route such orders direct, rather than using Alaric Trader Smart routing, Alaric reserves the right to impose a surcharge in order to defray specialist order handling charges. In this event, Alaric will provide notice to affected customers.

4. REGULATORY AND EXCHANGE FEES

Fee	Rate	Additional Details
SEC	\$ 0.0000221	Multiply this amount by the principal amount sold
FINRA: TAF	\$ 0.000119	Covers sales of exchange registered securities whenever executed. Multiply this amount by the number of shares sold
Options ORF	\$ 0.0431	Multiply this rate by the number of options contracts traded
NSCC	\$0.00003 per share, min \$0.009 – max \$0.18	

All regulatory fees are pass-through and are charged separately. Be advised that these fees are subject to changes retroactively and without a prior notice. The rates above are indicative and apply at the time of updating the current pricing.

Professional Day-trader Processing Fee is \$0.000003 per dollar value of all executed sell transactions.

Exchange and ECN fees are charged separately based on exchange flags and on whether they are adding or removing liquidity on the relevant venue. These fees are pass-through and are subject to the venue's pricing including the tiers applicable to Alaric. Where applicable and at the rates mentioned above, rebates are passed down to the client.

5. DEPOSITS AND WITHDRAWALS

Transfer	Fee
SEPA transfer	EUR 12
Bank transfer	USD 50 plus bank fees
Alaric Debit Card	USD 5

II. MARKET DATA

INDIVIDUAL MARKET DATA FEEDS



Market Data Feed	Non-Pro	Pro
NYSE Level 1	\$3.00	\$47.00
AMEX Level 1	\$3.00	\$25.00
NYSE ARCA Book	\$12.00	\$62.00
NYSE Open Book	\$17.00	\$62.00
NASDAQ Level 1	\$3.00	\$26.00
NASDAQ Total+Open View	\$17.00	\$80.00
NYSE American Openbook (AMEX Level 2)	\$3.00	\$12.00
BATS Book	\$7.00	\$44.00
EDGX Book	\$7.00	\$44.00
EDGA Book	\$3.00	\$12.00
OTCMarkets (Pink Sheets) Level 1	\$7.00	\$34.00
OTCMarkets (Pink Sheets)Level 1 + 2	\$19.00	\$79.00
NYSE Alerts	\$0.00	\$2.00
Basic CCS Business wire*	\$34.00	\$34.00



Market Data Feed	Non-Pro	Pro
NYSE ARCA Book	\$12.00	\$62.00
NYSE Open Book	\$17.00	\$62.00
NASDAQ Level 1 and Level 2	\$18.00	\$102.00

Market Data Feed	Non-Pro	Pro
NASDAQ Level 1	\$3.00	\$26.00
NYSE Level 1	\$3.00	\$47.00
Dow Jones Index Data	\$14.00	\$26.00
AMEX Level 1	\$3.00	\$25.00
NYSE American Openbook (AMEX Level 2)	\$3.00	\$12.00
BATS Book	\$7.00	\$44.00
EDGX Book	\$7.00	\$44.00
EDGA Book	\$3.00	\$12.00
NYSE Alerts	\$2.00	\$2.00
Streaming News	\$10.00	\$10.00

INDICES

Market Data Feed	Non-Pro	Pro
NASDAQ GIDS/PBOT Indices	\$11.00	\$11.00
Dow Jones Indices	\$14.00	\$26.00
CBOE MDX Indices	\$4.25	\$4.25
NYSE Global Index Feed (GIF)	\$3.00	\$27.00

OPTIONS

Market Data Feed	Non-Pro	Pro
OPRA	\$3.25	\$45.00

*Basic Package includes PR Newswire, Market Wire, Globe NewsWire, OMX, Business Wire, Investor's Business Daily, and United Press International.

**Market data fees and rates are pass-through and are subject to changes without a prior notice due to many reasons such as insufficient or incomplete data and/or changes provided by the ECNs or exchanges.

III. MARGIN INTEREST

1. LONG MARGIN INTEREST

Long Margin Interest: On Margin Accounts, Leverage interest is based on 1-month US Dollar LIBOR interest rate, but not less than 100 bps, plus mark-up:

Debit Balance (\$)	Mark-up
0 – 49,999.99	500bps
50,000 – 249,999.99	475bps
250,000 – 499,999.99	450bps
500,000 – 999,999.99	400bps
1,000,000+	375bps

Leverage:

Margin Accounts below \$100,000 (considered “Reg T” accounts) are customarily extended a 6:1 intraday and 2:1 overnight leverage. Often, we may extend a higher leverage (up to 30:1 on intraday and 6:1 on overnight positions).

Margin Accounts over \$100,000 (considered “Portfolio Margin” accounts) are customarily extended a 8:1 intraday and 4:1 overnight leverage. Often, we may extend a higher leverage (up to 40:1 on intraday and 10:1 on overnight positions).

Alaric Securities does not pay interest and does not apply charges on cash balances in Cash or Margin Accounts.

2. SHORT MARGIN INTEREST

Short Margin Interest is calculated on a case by case basis depending on stock availability and prevailing prime brokers’ interest rates.